

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 1 December 2021
My Ref:
Your Ref:

**Committee:
Audit Committee**

Date: Thursday, 9 December 2021
Time: 10.00 am
Venue: Shrewsbury/Oswestry Room, Shirehall, Abbey Foregate,
Shrewsbury, Shropshire, SY2 6ND

You are requested to attend the above meeting. The Agenda is attached
Members of the public will be able to access the live stream of the meeting by clicking on this link:

<https://www.shropshire.gov.uk/auditcommittee9december2021/>

There will be some access to the meeting room for members of the press and public but this will be very limited due to current Health and Safety Regulations. If you wish to attend the meeting, please e-mail democracy@shropshire.gov.uk to check that a seat will be available for you.

Tim Collard
Interim Assistant Director – Legal and Democratic Services

Members of Audit Committee

Rosemary Dartnall
Simon Harris (Vice Chairman)
Nigel Lumby

Brian Williams (Chairman)
Roger Evans

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meetings held on the 16 September and 22nd October 2021 (Pages 1 - 14)

The Minutes of the meetings held on the 16 September and 22nd October 2021 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 10.00 am Tuesday 7 December 2021.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for notification for this meeting is 10.00 am on Tuesday 7 December 2021.

6 Second line assurance: Treasury Strategy Mid-Year Report 2021/22 (Pages 15 - 42)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

7 Second line assurance: Annual review of Counter Fraud, Bribery and Anti-Corruption Strategy and activities, including an update on the National Fraud Initiative (Pages 43 - 86)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

8 Governance Assurance: Annual review of Audit Committee Terms of Reference (Pages 87 - 98)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

9 Governance Assurance: Annual Audit Committee Self-Assessment (Pages 99 - 126)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

10 Third line assurance: Internal Audit Charter (Pages 127 - 146)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski 01743 257739

11 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2021/22 (Pages 147 - 170)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

12 Third line assurance: External Audit, Audit progress report and sector update

The report of the Engagement Lead is to follow.

Contact: Grant Patterson (0121) 232 5296

13 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 22 February 2022 at 10.00 am.

14 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

15 Exempt minutes of the previous meeting held on the 16th September 2021 (Pages 171 - 174)

The Exempt Minutes of the meeting held on the 16 September 2021 are attached for confirmation.

Contact: Michelle Dulson 01743 257719

16 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 175 - 180)

The report of the Principal Auditor is attached.

Contact: Peter Chadderton (01743) 257737